



Brief article

Learning to use words: Event-related potentials index single-shot contextual word learning

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ABSTRACT

Humans have the remarkable capacity to learn words from a single instance. The goal of this study was to examine the impact of initial learning context on the understanding of novel word usage using event-related brain potentials. Participants saw known and unknown words in strongly or weakly constraining sentence contexts. After each sentence context, word usage knowledge was assessed via plausibility ratings of these words as the objects of transitive verbs. Plausibility effects were observed in the N400 component to the verb only when the upcoming novel word object had initially appeared in a strongly constraining context. These results demonstrate that rapid word learning is modulated by contextual constraint and reveal a rapid mental process that is sensitive to novel word usage.

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1. Introduction

Humans have an amazing capacity to learn many thousands of words, with adult vocabularies ranging between 40,000 and 150,000 words or more (Aitchinson, 1994; Beck & McKeown, 1991; Pinker, 1994). Over the years, the primary focus of word learning has been on young children. This is understandable, given the foundational role that word learning plays in language abilities. However, relatively little is known about how adults learn new words in their native language. This is a bit surprising for several reasons. First, the overwhelming majority of words known by adults are acquired after early childhood. Second, the task itself presents somewhat different challenges for adults and children; whereas children typically map words to novel or unnamed concepts (Markman & Wachtel, 1988), adults more often learn nuanced meanings for name-known concepts (e.g. *jocund/happy*). Third, pre-literate children often learn words through explicit naming

and reference, whereas school age children and adults generally acquire words via incidental learning situations, often involving reading (Jenkins, Stein, & Wysocki, 1984; Nagy, Herman, & Anderson, 1985; Sternberg, 1987). However, there is one important similarity between child and adult word learning, which is that it can—under the right conditions—be remarkably fast. Indeed, a single exposure to a novel word is sufficient for a learner to infer its probable meaning (Carey & Bartlett, 1978; Dollaghan, 1985).

The goal of the present research is to understand what those conditions might be, focusing on adults and the role played by sentence context, and using event-related brain potentials (ERPs) as adults read known words and unknown pseudowords in sentences that strongly or weakly constrained their meanings.

Consider, as an example, what one might infer about the meaning of a pseudoword like *marf* in the sentence, *She walked across the room to Mike's messy desk to return his marf*. Compare this with, *He tried to put the pieces of the broken plate back together with marf*. Although both contexts provide information about the meaning and proper usage of *marf*, the second sentence is more highly constraining of that meaning, and thus, a more specific understanding of its usage might be inferred.

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We tested this hypothesis by asking participants to rate the acceptability of the target word's usage in two successive test sentences (always a Pronoun–Transitive Verb–Article/Pronoun–Target word) presented after a single example context sentence, e.g., *They used the marf*, followed by *She drove the marf*. Because the test sentences appeared immediately after the context sentences, and the target word always appeared in direct object position, all the information needed to determine if the word was used appropriately was available at the verb (in examples above, *using a marf* is plausible whereas *driving one* is implausible). Thus, the earliest point at which one might find plausibility effects in a test sentence would be at the verb.

We therefore measured the amplitude of the N400 ERP component elicited by the verb in these test sentences in order to determine whether or not a reader gleaned enough meaning from a novel word to decide if it is a plausible object of that verb. The N400 is a negative-going brain potential between 250 and 500 ms (peaking ~400 ms) after the presentation of any potentially meaningful stimulus (Kutas & Hillyard, 1980). N400 amplitudes are larger when a word is unknown, used inappropriately, is frequent, or is a pseudoword (Bentin, 1987; Kutas & Hillyard, 1980). The N400 is sensitive to word knowledge and learning in children (Friedrich & Friederici, 2004, 2005a, 2005b, 2006; Mills, Coffey-Corina, & Neville, 1997; Torkildsen et al., 2006) and adults (McLaughlin, Osterhout, & Kim, 2004; Mestres-Misse, Rodriguez-Fornells, & Munte, 2006; Perfetti, Wlotko, & Hart, 2005; Stein et al., 2006). By focusing on plausibility effects on the preceding verb instead of on the repeated object word, we avoid potential confounds, including known N400 reductions with repetition (Van Petten, Kutas, Kluender, Mitchiner, & McIsaac, 1991). Moreover, we can infer if knowledge of word usage is rapidly acquired by the degree to which the N400 is modulated by the appropriateness of subsequent use of the word.

2. Methods

2.1. Participants

Twenty-six right-handed native English-speaking undergraduates (17 women) between 18 and 25 years old (mean 19.8) received credit or \$7/hr for their participation. All participants had normal hearing and normal (or corrected-to-normal) vision with no history of mental illness, learning disability, language impairment, drug abuse, or neurological trauma. Eleven additional participants were not analyzed due to excessive blinking or motion artifact (4), equipment failure or experimenter error (3), or some disqualifying characteristic (3 learned a second language in childhood, one reported illicit drug use.)

2.2. Stimuli

A trial consisted of three sentences. The first was a context sentence, which imposed strong or weak constraints

on the likely meaning of a sentence-final Known or Unknown word target. This was immediately followed by two test sentences, each of which was a plausible (P) or implausible (I) usage of the target word. All possible combinations of plausible and implausible test pairs (PP, PI, IP, II) occurred randomly and equiprobably throughout the study.

2.3. Context sentences

Eighty strongly and 80 weakly constraining sentence fragments were selected from Federmeier and Kutas (2005). Each strongly constraining sentence fragment was completed by the highest cloze probability word. The same word was a low cloze probability ending for a weakly constraining sentence fragment. In addition, each sentence pair was completed by one of 80 pseudowords, yielding four main context conditions with 40 sentences each (examples in Table 1): (1) High constraint sentences with Known word endings (High/Known), (2) High constraint sentences with Unknown word (pseudoword) endings (High/Unknown), (3) Low constraint sentences with Known word endings (Low/Known), and (4) Low constraint sentences with Unknown word endings (Low/Unknown). Sentence-final words in the two constraint conditions were counterbalanced across versions such that the same sentence plus ending did not appear twice in any version, but all possible combinations appeared across all versions. This ensured that any differences in performance due to properties of the words were balanced across conditions.

2.4. Test sentences

Four test sentences were created for each context sentence pair for a plausibility judgment task. Two test sentences involved implausible (I) usages of the target word, and two involved plausible (P) usages. On any given trial, a context sentence was followed by a random selection

Table 1

Sentence examples in each of four conditions. Context sentences provided high and low constraint contexts for Known and Unknown word targets. Tests sentences could be either plausible or implausible uses of the previously presented target word, in any combination. Test sentence verbs that were used plausibly in this sentence set were used implausibly in another trial and vice versa.

| | |
|-------------------------------|--|
| <i>(A) Context Sentences:</i> | |
| High/Known: | He tried to put the pieces of the broken plate back together with GLUE |
| High/Unknown: | He tried to put the pieces of the broken plate back together with MARF |
| Low/Known: | She walked across the room to Mike's messy desk to return his GLUE |
| Low/Unknown: | She walked across the room to Mike's messy desk to return his MARF |
| <i>(B) Test Sentences:</i> | |
| Plausible: | P1. They used the GLUE / MARF |
| (Known/ Unknown) | P2. He needed the GLUE / MARF |
| Implausible: | I1. She drove the GLUE/MARF |
| (Known/ Unknown) | I2. He greeted the GLUE/MARF |

Table 2
Accuracy of responses to plausibility task.

| Condition | % Accuracy (SD) |
|---------------------|-----------------|
| <i>High/Known</i> | |
| Plausible | 90 (2.84) |
| Implausible | 88 (3.20) |
| Overall | 89 (3.02) |
| <i>High/Unknown</i> | |
| Plausible | 80 (4.09) |
| Implausible | 84 (3.84) |
| Overall | 82 (4.02) |
| <i>Low/Known</i> | |
| Plausible | 89 (2.61) |
| Implausible | 81 (3.52) |
| Overall | 85 (3.55) |
| <i>Low/Unknown</i> | |
| Plausible | 69 (3.12) |
| Implausible | 75 (4.54) |
| Overall | 72 (4.07) |

$p < 0.0001$], Type [$F(1, 25) = 22.44, p < 0.0001$], and a Constraint \times Type interaction [$F(1, 25) = 23.45, p < 0.0001$]. Tukey tests indicated that these effects were driven by High/Known endings being significantly more positive than every other condition. Identical analyses including all 26 electrodes yielded the same result pattern. Thus ERPs to Known words show a modulation by sentential constraint in the N400 region while Unknown words do not.

Additionally, an extended late positive component (LPC) was elicited for both Known and Unknown words.

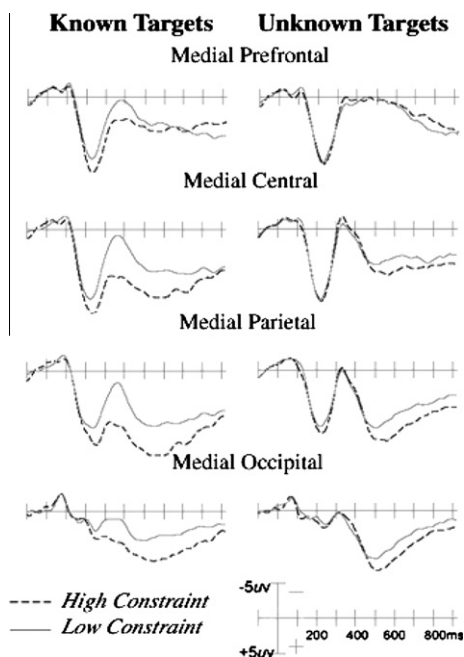


Fig. 2. ERPs to Known and Unknown target words in Context sentences at midline electrode sites. Analyses over a restricted and a complete set of electrode sites indicate that sentential context did not modulate N400s for Unknown targets; N400 amplitudes were smallest for Known words in High constraint contexts. Additional distributional analyses indicated that N400 differences between High/Known and all other conditions were largest medially.

A repeated measures ANOVA with factors Word Type (Known and Unknown) and Constraint (High and Low) was conducted on the LPC mean amplitude between 500 and 700 ms post final word onset at the same recording sites. This analysis revealed effects of Constraint [$F(1, 25) = 8.71, p = 0.0068$], with High (vs. Low) constraint target words showing greater positivity, and Type [$F(1, 25) = 14.34, p = 0.0009$], with Known (vs. Unknown) words showing greater overall positivity. A Constraint \times Type interaction [$F(1, 25) = 14.18, p = 0.0009$], reflected greater positivity to High/Known targets than all other conditions, according to Tukey tests. Identical analyses with all electrodes yielded the same main effects, but no Constraint \times Type interaction. In sum, there was no reliable signature in the N400 or LPC time windows suggesting differential processing of Unknown words upon their initial appearance as a function of contextual constraint.

3.4. N400 and LPC distribution

Additional analyses examined possible interactions between N400 and LPC amplitudes at various scalp locations and experimental conditions. Amplitudes were measured across 16 electrode sites (LLP_f, RLP_f, LMP_f, RMP_f, LLF_r, RLF_r, LMFR, RMFR, LLTe, RLTe, LMCE, RMCE, LLOc, RLOc, LMOc, RMOc). Experimental effects of Wordtype (Known and Unknown) and Constraint (High and Low), and Distributional factors of Hemisphere (Right or Left), Anteriority (Prefrontal, Frontal, Central, Occipital), Laterality (Medial or Lateral), were included in a repeated measures ANOVA analysis of N400 and LPC, summarized in Table 3. Follow-up post hoc tests indicated that main effects and interactions between experimental and distributional factors in the N400 window arose from a tendency for effects to be largest at left medial, central, and frontal electrode sites. Post hoc tests for LPC effects indicated that differences between Known and Unknown words were largest at medial and more anterior sites. Additionally, High and Low constraint differences were largest at posterior and medial sites.

3.5. Plausibility ERP effects

Figs. 3 and 4 show ERPs to verbs (Fig. 3) and sentence-final words (Fig. 4) in test sentences. Recall that all the information needed for a plausibility judgment first becomes available at the verb preceding the target noun. Fig. 3 demonstrates that participants rapidly assessed plausibility, as indexed by effects at the verb.

3.6. N400 and LPC amplitude

N400 and LPC amplitudes for plausible and implausible verbs were measured at four centrally located electrode sites (RMCE, LMCE, MiCe, MiPa) and subjected to a repeated measures ANOVA with factors of Word Type (Known and Unknown), Constraint (High and Low), and Plausibility (Plausible and Implausible). For the N400, there was a main effect of Plausibility [$F(1, 25) = 46.5553, p < 0.0001$] reflecting smaller N400s to Plausible (vs. Implausible) verbs. There was also a significant

Table 3

F-values of significant N400 and LPC effects of distributional analyses for context sentence endings.^a

| | Df | N400 effects | | LPC effects | |
|-----------------|---------|--------------|-------|-------------|-------|
| | | F | P< | F | P< |
| Constraint (C) | (1, 25) | 18.355 | .0001 | 13.962 | .001 |
| Wordtype (W) | (1, 25) | 24.473 | .0001 | 12.868 | .001 |
| Hemisphere (H) | (1, 25) | | | 16.251 | .0001 |
| Anteriority (A) | (3, 75) | 23.041 | .0001 | 33.314 | .0001 |
| Laterality (L) | (1, 25) | 45.423 | .0001 | 81.155 | .0001 |
| C × W | (1, 25) | 21.619 | .0001 | | |
| C × H | (1, 25) | | | 4.736 | .039 |
| C × A | (3, 75) | | | 10.762 | .0001 |
| C × L | (1, 25) | 12.816 | .001 | 19.316 | .0001 |
| W × H | (1, 25) | 15.395 | .001 | 9.109 | .006 |
| W × A | (3, 75) | 15.179 | .0001 | 32.977 | .0001 |
| W × L | (1, 25) | 18.871 | .0001 | 12.583 | .002 |
| H × A | (3, 75) | | | | |
| H × L | (1, 25) | 4.327 | .048 | 15.810 | .001 |
| A × L | (3, 75) | | | 19.057 | .0001 |
| C × W × L | (1, 25) | 28.667 | .0001 | | |
| C × H × A | (3, 75) | 3.026 | .035 | 9.705 | .0001 |
| C × A × L | (3, 75) | | | 5.333 | .002 |
| W × H × A | (3, 75) | 5.207 | .003 | | |
| W × H × L | (1, 25) | 10.014 | .004 | | |
| W × A × L | (3, 75) | 3.452 | .021 | | |
| H × A × L | (3, 75) | 4.030 | .010 | 5.727 | .001 |
| C × W × H × A | (3, 75) | 3.828 | .013 | | |
| C × W × A × L | (3, 75) | | | 5.541 | .002 |
| C × H × A × L | (3, 75) | | | 3.560 | .018 |
| W × H × A × L | (3, 75) | 8.913 | .0001 | 6.871 | .0001 |

^aInteractions that did not yield significant N400 or LPC effects are omitted.

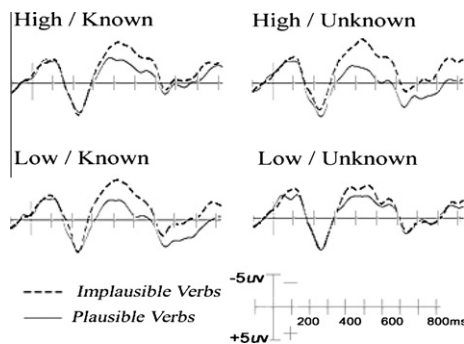


Fig. 3. Plausibility effect for verbs preceding Known and Unknown targets. ERPs at right-medial central electrode (RMCE) are plotted for plausible and implausible verbs in each condition.

three-way interaction of Constraint × Type × Plausibility [$F(1, 25) = 5.1258, p < 0.0325$]. Tukey tests revealed plausibility effects in N400 amplitude in all conditions except Low/Unknown,¹ suggesting that adults are able to infer the meaning of novel word meanings after a single presentation, but only when unknown words initially appear in strongly constraining contexts. Identical analyses conducted on sentence-final words revealed no significant effects of plausibility.

¹ The same main effects, interactions, and post hoc test results were observed in a separate set of analyses conducted over the entire set of 26 electrodes.

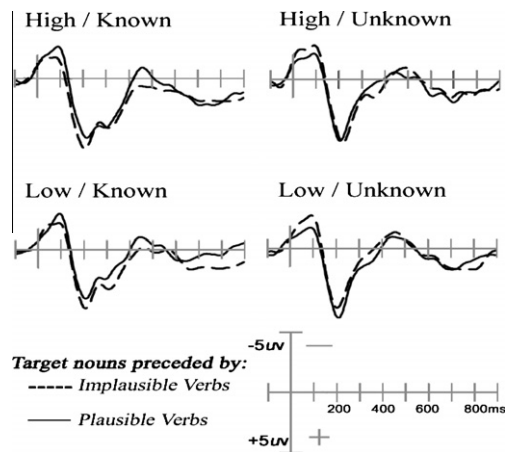


Fig. 4. Plausibility effect for Known and Unknown targets. Event-related potentials at right-medial central electrode (RMCE) are plotted for Known and Unknown targets preceded by plausible and implausible verbs. Unlike their preceding verbs, no significant plausibility effects were observed for Known and Unknown word targets in N400 or LPC component timewindows.

3.7. N400 and LPC distribution

Additional analyses for verbs were also carried out on 16 electrodes across the scalp. In addition to Constraint, Type, and Plausibility, Distributional factors of Hemisphere, Anteriority, and Laterality were analyzed in two repeated measures ANOVAs for mean N400 and LPC amplitudes (see Table 4). N400 main effects and interactions of distributional factors were driven by a tendency for the N400 to be larger in the right hemisphere, over central and lateral scalp locations. The distributions of the N400 involved in plausibility judgments in Known and Unknown conditions, however, were very similar. Post hoc tests indicated that LPC effects were driven by a tendency for plausibility differences to be larger over medial and central and frontal electrodes and for the LPC plausibility differences to be largest for High/Unknown and Low/Unknown words.

For the LPC, High/Unknown and Low/Unknown words seem to pattern together with larger positivities for plausible verbs. However, there was neither a three-way interaction of Constraint × Wordtype × Plausibility nor any reliable post hoc plausibility differences.

3.8. Plausibility effects over the test sentences

In order to track plausibility effects across time, Fig. 5 shows ERPs over the entire test sentence epoch, suggesting that plausibility effects were not restricted to the verb, perhaps continuing to sentence end. Unlike the single (final) word ERP, these over-sentence averages offer a view of the sentence plausibility ERP effect that takes into account preceding condition differences (like those starting at the verb). Unlike the reliable N400 plausibility effects at the verb, there was considerable variability in the post-verb ERPs. One-third to one-half of the participants in each of the three other conditions exhibited post-verbal negative plausibility effects (greater negativity for implausible than

Table 4

F-values of significant N400 and LPC effects of distributional analyses for verbs in plausibility sentences.^a

| | Df | N400 effects | | LPC effects | |
|-------------------|---------|--------------|-------|-------------|-------|
| | | F | P< | F | P< |
| Constraint (C) | (1, 25) | | | 5.510 | .027 |
| Wordtype (W) | (1, 25) | | | | |
| Plausibility (P) | (1, 25) | 46.026 | .0001 | 17.119 | .000 |
| Hemisphere (H) | (1, 25) | 33.680 | .0001 | 18.335 | .0001 |
| Anteriority (A) | (3, 75) | 25.980 | .0001 | 17.527 | .0001 |
| Laterality (L) | (1, 25) | 8.443 | .008 | 10.985 | .003 |
| C × W | (1, 25) | | | 8.202 | .008 |
| P × A | (3, 75) | 3.811 | .013 | 4.362 | .007 |
| P × L | (1, 25) | 22.688 | .0001 | 7.758 | .010 |
| H × A | (3, 75) | 3.341 | .024 | 2.804 | .046 |
| H × L | (1, 25) | 12.142 | .002 | | |
| A × L | (3, 75) | 8.431 | .0001 | 5.362 | .002 |
| C × W × P | (1, 25) | 6.865 | .015 | 5.137 | .032 |
| C × W × L | (1, 25) | | | 17.113 | .0001 |
| C × P × H | (1, 25) | | | | |
| C × P × A | (3, 75) | 5.680 | .001 | 3.132 | .031 |
| P × A × L | (3, 75) | 3.689 | .016 | 4.427 | .006 |
| C × W × P × A | (3, 75) | | | 2.786 | .047 |
| C × W × H × L | (1, 25) | | | 4.370 | .047 |
| C × W × P × H × A | (3, 75) | 4.359 | .007 | | |

^a Interactions that did not yield significant LPC or N400 effects are omitted.

plausible sentences) that lasted through sentence end (which is not visible if the baseline for comparison for the final word is 100 ms pre-final word). For another seven participants, the plausibility effect in the Hi/Unknown condition was limited to the verb (see Fig. 6). The remaining participants exhibited either no or reversed post-verb plausibility effects, or effects that were inconsistent from word to word.

4. Discussion

Our results suggest that adults learn the implied meaning of novel words via a process that is sensitive to

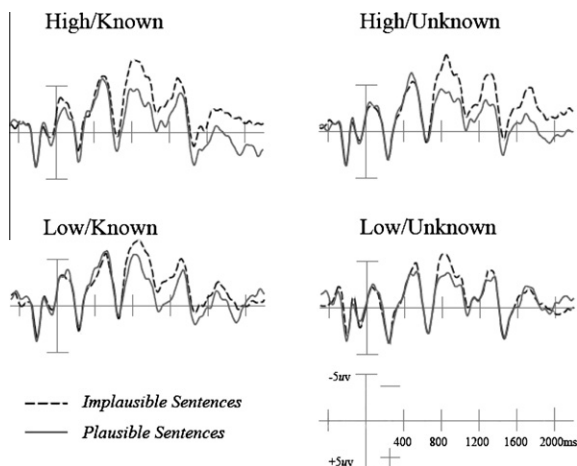


Fig. 5. Grand average ERPs to test sentences in their entirety across all participants. N400 effects are evident for each sentence item sequentially at 400 ms for the pronoun, 800 ms for the verb, 1200 ms for the article, and 1600 ms for the final test noun.

contextual constraint. Furthermore, the newly acquired knowledge is integrated into existing verb–argument relationships. One exposure in a highly constraining context seems sufficient to impart whether or not a previously unknown word can serve as the object of particular verbs. The ERP data reveal that this knowledge about a newly experienced word is deployed rapidly in real-time sentence processing. Others have explored neural signatures of word learning over multiple exposures (Mestres-Misse et al., 2006) or extended training (McLaughlin et al., 2004; Ojima, Nakata, & Kakigi, 2005; Perfetti et al., 2005; Stein et al., 2006), but to our knowledge, this is the first report of the ERP indices of one-shot word learning in adults.

Upon initial presentation in the context sentences, only Known words showed an N400 constraint effect, with smaller amplitudes under high than low constraint whereas Novel words did not. However, the impact of the initial learning context (including the target word) is clearly evident in the N400 amplitude modulation during the processing of the verb of the subsequent test sentence. Following initial exposure under strong (but not weak) constraint, verb N400s were significantly smaller if that verb could plausibly take the novel word as an object than if it could not. Our interpretation is that this effect reflects knowledge of novel word meaning that is driven by its initial exposure in context.

One alternate interpretation is that this effect may have little to do with word learning but is carried instead by the preceding sentence context. Under this account, some aspect of the contextual information that remains active in working memory drives the plausibility effect at the verb, rather than, as we propose, the newly learned word. If so, then we might predict similar results even if the same novel word were used in every sentence (e.g., MARF) or if there were no final word for the context sentence (e.g., the context word was replaced by question marks).² We have not conducted these studies. Nonetheless, we have reasons to think that our effects are due to word learning. In our study, participants read a highly constraining sentence about repairing a broken plate with glue or marf, and then encountered a verb such as “used” or “drove” (see Table 1). According to the alternative account, it is the presumably greater mismatch between “drove” and the “broken plate repairing event” than “used” that leads to the observed N400 effect at the verb in the text sentence. If this is going on, it also likely to apply to the greater mismatch between “drove” than “used” in “the messy office scene” set up by the less contextually constraining sentence (see Table 1). Yet, the N400 plausibility effect is only evident when the novel word was initially experienced under high contextual constraint. Moreover, it does seem that known target words alone can drive the verb plausibility effects; using LSA to estimate the strength of the semantic relationship between the learning sentence context fragment (all but the final words) and the test sentence verb, we find no reliable differences across conditions – either due to constraint or plausibility. This contrasts with the significant plausibility effect in the LSA

² We thank an anonymous reviewer for pointing this out.

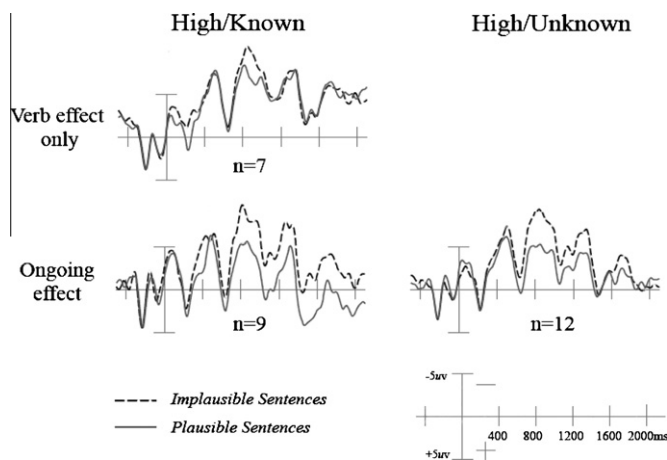


Fig. 6. Example of grand average ERPs to test sentences where participants show two different patterns: (1) plausibility effects at the verb only (in High/Known only), and (2) continuing effects across the sentence. Like in Fig. 5, N400 effects are shown for each sentence item sequentially, beginning with the pronoun at 400 ms.

scores between the final (target) words of the context sentences and the test sentence verbs: as expected, the similarity scores are greater for plausible than implausible verbs (we could not assess the effect of constraint as the same target words appeared in both constraint conditions). In sum, although the alternative remains a logical possibility, we think that our participants were attempting to fit the meaning of the upcoming object with the verb, which is stronger/more accessible (i.e., better learned) in the higher constraint condition, and it is this mismatch, not just that with the general context, that drives the verb N400 amplitude. Even stronger evidence against this alternative comes from a similar follow-up study with multiple intervening items between the context and test (Borovsky, Elman, & Kutas, 2010). In that study, we find N400 evidence of word learning over a several minute delay. For these reasons, although the alternative account remains a possibility, we believe it is unlikely.

Interestingly, N400 amplitude modulations were found at the verb and not the target words in the test sentences although behavioral plausibility judgments were neither required nor given until the completion of the test sentences. These effects show that semantic analyses needed for plausibility were computed as soon as possible, which is consistent with incremental views of sentence processing (Altmann & Kamide, 1999; Kamide, Altmann, & Haywood, 2003). More specifically, the N400 verb effect demonstrates that novel word knowledge was immediately coordinated with verb-argument restrictions, but only when the novel word had been presented in a highly constrained context. When the novel word meaning was only weakly constrained, there was no reliable plausibility N400 effect at the test verb. This indicated that the semantic representations subserving the plausibility judgment were incomplete or underspecified. In short, after a single highly constrained exposure, the mental representation of an unknown word is rapidly incorporated into the lexical and grammatical systems of participants' language processing system.

The current study focused on the role of contextual constraint on rapid word learning. Naturally, other factors like topic knowledge, word concreteness, or part of speech can influence word learning (Jenkins & Dixon, 1983). As we aimed to minimize the influence of word-specific factors, we exposed participants to novel nouns (pseudowords) with the intended meanings of highly familiar concepts (i.e., *marf* as a synonym for glue). Future research will need to address how context interacts with other such lexico-semantic factors during word acquisition.

In sum, we find that experience matters when learning how to use a word. This effect can shed light on problems encountered by language learners, such as why some words are more easily learned, and it suggests a method of measuring newly acquired lexical knowledge without requiring a response.

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